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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	7	Attorney Docket Number	034226R002
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## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form 1449 with 2 cited references
<b>Remarks</b>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

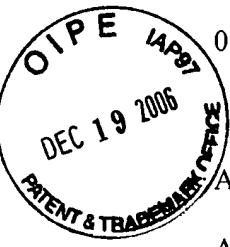
Firm	Smith, Gambrell & Russell		
Signature			
Printed Name	Dennis C. Rodgers		
Date	December 19, 2006	Reg. No.	32,936

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



034226R002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Changling LIU et al. Confirmation No. 5464

Application Serial No.: 10/573,529 Group Art Unit: 1614

Filing Date: March 24, 2006 Examiner: Not Yet Assigned

For: BENZOPYRONE COMPOUNDS AND ITS PREPARATION  
METHOD AND USE THEREOF

SECOND INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Statement is filed to submit additional papers to the PTO from International Application No. PCT/CN2004/001255. The submitted papers that pertain to PCT/CN2004/001255 are:

- (1) PCT Notification of Transmittal of Copies of Translation of the International Preliminary Report on Patentability (PCT/IB/338); and
- (2) International Preliminary Report on Patentability (PCT/IPEA/409)  
(translated).

A PTO-1449 form is included herewith listing these documents, and a copy of each of these documents is attached.

This Information Disclosure Statement is being filed before receipt of a first Office Action in the present application, and therefore it is believed that no fees are due under 37 C.F.R. Section 1.97(i).

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

By:

  
Dennis C. Rodgers, Reg. No. 32,936  
1850 M Street, N.W., Suite 800  
Washington, D.C. 20036  
Telephone: (202) 263-4300  
Facsimile: (202) 263-4329

Date: December 19, 2006



FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET 034226R002	SERIAL NO. 10/573,529
APPLICANT: Changling LIU et al.	
FILING DATE March 24, 2006	GROUP ART UNIT 1614

## U.S. PATENT DOCUMENTS

*Examiner's Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE, IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

*Examiner's Initials		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES      NO
	AL						
	AM						
	AN						
	AO						
	AP						

## OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)

AQ	International Preliminary Report on Patentability (PCT/IPEA/409) (Translated into English) for PCT/CN2004/001255
AR	Notification of Transmittal of Copies of Translation of the International Preliminary Report on Patentability issued for PCT/CN2004/001255 (Translated into English)

EXAMINER:

DATE CONSIDERED:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.